

Before subscription, please read Key Information Document (KID) and fund regulations at [www.fondita.com](http://www.fondita.com)

Paper copies of the statutory fund documentation are available free of charge from the Fund Management Company.

Name of the client: \_\_\_\_\_

Business ID: \_\_\_\_\_

Address: \_\_\_\_\_

Postal code: \_\_\_\_\_

City: \_\_\_\_\_

E-mail: \_\_\_\_\_

E-mail may be used for reporting

Yes

No

Language English

Finnish

Swedish

Bank account number (IBAN & BIC): \_\_\_\_\_

Contact person & phone number: \_\_\_\_\_

The address of the company's principal place of business (if other than domicile)  
\_\_\_\_\_  
\_\_\_\_\_

Possible additional reporting address:  
\_\_\_\_\_  
\_\_\_\_\_

Countries of taxation: \_\_\_\_\_

Tax identification number (TIN) if applicable: \_\_\_\_\_

*The questions below are based on the Act on Detecting and Preventing Money Laundering and Terrorist*  
We are obligated to collect the following information to fulfil our legal obligations.

Branch of industry: \_\_\_\_\_

The company's financial position (according to the latest financial statement)

Revenue: \_\_\_\_\_ euro

Equity: \_\_\_\_\_ euro

Total balance: \_\_\_\_\_ euro

Profit / -Loss: \_\_\_\_\_ euro

Origin of assets used for subscription:

Business profit:

Financial investments:

Other, what? \_\_\_\_\_

The objective of the  
subscription?

General investment activity / return on investment

Other, what? \_\_\_\_\_

Information about the beneficial owners

A controlling person is a natural person who has authority over the company. All natural persons who directly or indirectly hold more than 25 % of the company's shares or votes are considered beneficial owners. The voting rights can also be based on articles of association, partnership agreement or other agreements. A person is considered as a beneficial owner if he or she has the right to appoint or dismiss the majority of the board.

If the company is owned by another company (ownership exceeds 25 %), the beneficial owners for the controlling company have to be disclosed too.

Number of beneficial owners: \_\_\_\_\_

If the company has more than 3 beneficial owners please send the information about the beneficial owners by e-mail to [info@fondita.fi](mailto:info@fondita.fi).

Beneficial owner 1.

Name: \_\_\_\_\_ Personal identity code: \_\_\_\_\_ Share %: \_\_\_\_\_

Home address: \_\_\_\_\_

Citizenship(s): \_\_\_\_\_

Countries of taxation: \_\_\_\_\_ Foreign TIN: \_\_\_\_\_

The investor is or has been a politically exposed person (PEP) \* during the last 12 months or a family member or a close business partner to one?

Yes  Additional information if the answer is yes: \_\_\_\_\_  
No  \_\_\_\_\_

Beneficial owner 2.

Name: \_\_\_\_\_ Personal identity code: \_\_\_\_\_ Share %: \_\_\_\_\_

Home address: \_\_\_\_\_

Citizenship(s): \_\_\_\_\_

Countries of taxation: \_\_\_\_\_ Foreign TIN: \_\_\_\_\_

The investor is or has been a politically exposed person (PEP) \* during the last 12 months or a family member or a close business partner to one?

Yes  Additional information if the answer is yes: \_\_\_\_\_  
No  \_\_\_\_\_

Beneficial owner 3.

Name: \_\_\_\_\_ Personal identity code: \_\_\_\_\_ Share %: \_\_\_\_\_

Home address: \_\_\_\_\_

Citizenship(s): \_\_\_\_\_

Countries of taxation: \_\_\_\_\_ Foreign TIN: \_\_\_\_\_

The investor is or has been a politically exposed person (PEP) \* during the last 12 months or a family member or a close business partner to one?

Yes  Additional information if the answer is yes: \_\_\_\_\_  
No  \_\_\_\_\_

\*

Politically exposed person means a natural person who is or who has been entrusted during the last 12 months with prominent public functions including one the following:

- a) heads of State, heads of government, ministers and deputy or assistant ministers
- b) members of parliament
- c) members of the governing bodies of political parties
- d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances
- e) members of courts of auditors or members of organ that inspects financial administration of state corresponding to State Auditor's Office
- f) members of the boards of central banks
- g) ambassadors or chargés d'affaires
- h) high-ranking officers in the armed forces
- i) members of the administrative, management or supervisory bodies of State-owned enterprises
- j) directors, deputy directors and members of the board or equivalent function of an international organisation

Politically exposed person's family members include the following:

- a) the spouse, or a person considered to be equivalent to a spouse under the legislation of the country in question, of a politically exposed person
- b) the children and their spouses, or persons considered to be equivalent to a spouse, of a politically exposed person
- c) the parents of the politically exposed person

Persons known to be close associates of a politically exposed person refer to:

- a) any natural person who is known to have joint beneficial ownership of legal entities or traders or legal arrangements, or any other close business relationship, with a politically exposed person; and
- b) any natural person who has sole beneficial ownership of legal entities or traders or legal arrangements which are known to have been set up for the benefit de facto of the politically exposed person.

Is the company?

A financial institution:  *For example a credit institution, an insurance company, an investment firm or other entity, that retains assets on behalf of others as an essential part of the business.*

If the company is not a Financial institution, is the company a so-called active or passive non-financial company?

Active

Passive

The company is an active company if at least one of the following applies:

- 1) At least 50 % of the company's profits from the previous financial year consists of sale of products and services.
- 2) The company is owned by a state or government, municipality or other public authority.
- 3) Shares of the company or its parent company are regularly traded on the stock exchange or on an established securities market.

The company is a passive non-financial institution if none of the criterias above apply.

For additional information please contact: [info@fondita.fi](mailto:info@fondita.fi)

We also need a copy of the trade register extract (no more than 3 months old). It can be sent directly to [info@fondita.fi](mailto:info@fondita.fi) .

**I agree to inform the Fund Management Company about changes in the client details and I confirm that the given information is correct.**

Date and signature: \_\_\_\_\_

Print name: \_\_\_\_\_

Fondita Fund Management Company Ltd.  
Aleksanterinkatu 48 A, FI-00100 Helsinki FINLAND  
[info@fondita.fi](mailto:info@fondita.fi) tel. +358-9-6689890